



INVESTOR IN PEOPLE

The Patent Office

Concept House

Cardiff Road

Newport

South Wales

NP10 8QO REC'D 17 MAY 2004

WIPO

PCT

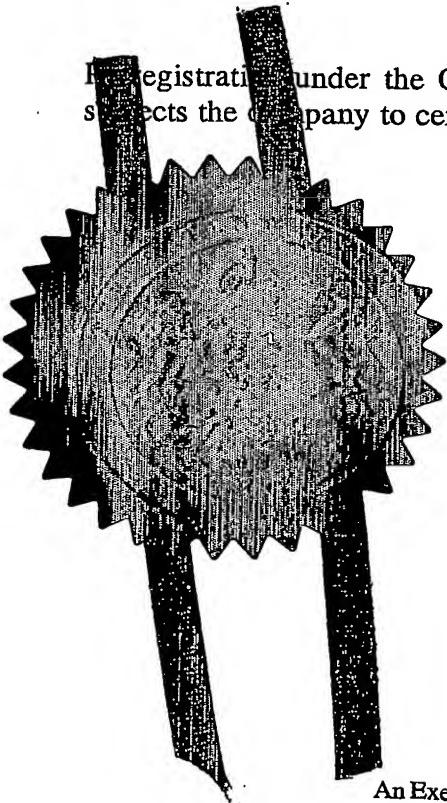
630211.1227

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



Signed

Dated

Stephen Holland

14 April 2004

**PRIORITY
DOCUMENT**
SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

Patents Form 1/77

THE PATENT OFFICE
PE
28 MAR 2003
RECEIVED BY FAX

The Patent Office

31MAR03 E796228-1 D0294
P01/7700 0.00-0307246.9



Request for grant of a patent
(See the notes on the back of this form. You can also get
an explanatory leaflet from the Patent Office to help
you fill in this form.)

The Patent Office

Cardiff Road
Newport
Gwent NP9 1RH

1. Your reference

SMC 60576/GB/P1

2. Patent application number

(The Patent Office will fill in this part)

0307246.9

28 MAR 2003

3. Full name, address and postcode of the or of
each applicant (enter all surnames)

Avecia BV,
Sluisweg 12
PO Box 123
5140 AC Waalwijk

Patents ADP number (if you know it)

77846002001

If the applicant is a corporate body, give the
country/state of its incorporation

The Netherlands

4. Title of the invention

Aqueous Pigmented Coating Composition with Improved Open-Time
Comprising Crosslinkable Oligomer(s) and Dispersed Polymer(s)

5. Name of your agent (if you have one)

SCHMITT, Maja

"Address for service" in the United Kingdom
to which all correspondence should be sent
(including the postcode)

Avecia Limited
PO Box 42, Hexagon House
Blackley
Manchester, M9 8ZS

Patents ADP number (if you know it)

7742125001

6. If you are declaring priority from one or more
earlier patent applications, give the country
and the date of filing of the or of each of these
earlier applications and (if you know it) the or
each application number

Country	Priority application number (if you know it)	Date of filing (day / month / year)
---------	---	--

7. If this application is divided or otherwise
derived from an earlier UK application,
give the number and the filing date of
the earlier application

Number of earlier application	Date of filing (day / month / year)
-------------------------------	--

8. Is a statement of inventorship and of right
to grant of a patent required in support of
this request? (Answer 'Yes' if:

- any applicant named in part 3 is not an inventor, or
 - there is an inventor who is not named as an
applicant, or
 - any named applicant is a corporate body.
- See note (d)

0064829 28 Mar 03 03:27

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form.
Do not count copies of the same document.

Continuation sheets of this form

Description

31

Claim(s)

02

Abstract

only

Drawing(s)

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

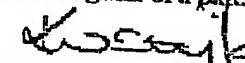
Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search, (Patents Form 9/77)

Request for substantive examination
(Patents Form 10/77)Any other documents
(Name specify)

11:

I/We request the grant of a patent on the basis of this application.

Signature  Date 28/3/03

Avecia Limited Authorised Signatory

Mrs K.M. Plinder/Miss G. Terry 0161 721 1361/2

12. Name and daytime telephone number of person to contact in the United Kingdom

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

0064829 28 MAR 2003 13:27

SMC 60576

APPLICANTS

Avecia BV

TITLE

AQUEOUS PIGMENTED COATING COMPOSITION WITH IMPROVED OPEN TIME
COMPRISING CROSSLINKABLE OLIGOMER(S) AND DISPERSED POLYMER(S)

SMC 60576

AQUEOUS PIGMENTED COATING COMPOSITION WITH IMPROVED OPEN TIME,
COMPRISING CROSSLINKABLE OLIGOMER(S) AND DISPERSED POLYMER(S)

The present invention relates to certain pigmented compositions comprising a crosslinkable oligomer(s) and a dispersed polymer(s) which, inter alia, provide coatings having improved open times as well as good tack-free times.

A general need when applying a decorative or protective coating to a substrate, is

5 to be able to repair irregularities in the still-wet coating after some time has elapsed, for example by re-brushing over a freshly coated wet substrate, or by applying more of the coating composition over a previously coated substrate without vitiating the complete merging of any boundaries in the vicinity of the repaired irregularity. Traditionally compositions containing binder polymers dissolved in organic solvents are used and the 10 organic solvents are employed to modify the drying characteristics of the coated composition. However the disadvantage of organic solvent-based coatings is the toxic and flammable nature of such solvents and the pollution and odour caused on evaporation as well as the relatively high cost of organic solvents,

15 Thus with the continuing concern about the use of organic solvent based coating compositions there has been a long felt need for an aqueous coating composition with comparable properties to those achievable using organic solvent based compositions.

20 It has been shown by viscosity measurements taken during drying that existing alkyd emulsions have a high viscosity phase inversion peak during drying. (Phase inversion is defined as the transition from a binder in a continuous water phase to water in a continuous binder phase, which occurs during drying). The consequence is a difficulty in re-brushing which starts a few minutes after application of the coating.

25 It is known from the prior art that longer open time is achievable by using solution-type aqueous oligomers (EP 0136025 B1) which can be diluted with large amounts of organic solvent(s) in order to create a low viscosity continuous phase during drying of the film and these are generally unacceptably water-sensitive.

30 From the literature it is also known that using low solids contents in the aqueous polymer compositions easily prolongs open time, but this generally results in the need to apply many layers of paint (for good opacity). Longer times for repairing irregularities can be achieved by employing aqueous polymer coating compositions in which the binder polymers have very low viscosities. However, hitherto, a problem with using such low viscosity polymer binders, is that the resultant coatings have a slow drying rate, resulting in the coating remaining tacky for an unacceptably long time. A coating should preferably also dry sufficiently quickly to avoid the adherence of dust and to ensure that the coating quickly becomes waterproof (in case of outdoor applications), and quickly becomes tack-free.

WO 02/32980, WO 02/33008, WO 02/33012 and WO 02/32982 disclose the use of compositions comprising a crosslinkable oligomer(s) and a dispersed polymer(s) with a

SMC 60578

2

specified equilibrium viscosity to give improved open times and tack free times;

However it is desirable to still further control the rheology of a coating composition beyond the point where most of the water has evaporated.

Many coatings compositions contain pigments. Pigments are often selected depending on their dispersibility, gloss, hiding power (opacity) and exterior durability when incorporated into a coating composition. In the art titanium dioxide (TiO_2) is considered as a commercially important white pigment and TiO_2 (and inorganic pigments in general) may be classified according to for example their particle size and particle size distribution; density; titanium (or metal) content; surface treatment; oil absorption number and water absorption number. Thus for example heavily silica treated TiO_2 pigments in general exhibit a low titanium content and high oil and water absorption numbers.

We have found that some of the pigment properties, in particular the oil and water absorption numbers can influence the rheology of a composition and therefore the open time.

Coating compositions also often contain thickeners. Aqueous coating compositions are generally shear thinning due to their particulate nature. As a result paints made from such compositions will have a low viscosity during brushing resulting in only thin layers being applied, with poor flow and poor levelling. This brushing behaviour can be improved by adding thickeners to increase the viscosity however this usually makes the flow and levelling worse, resulting in a reduced open time.

We have found that some thickeners can be used to give improved rheological behaviour and open time.

We have now invented aqueous coating compositions having an improved advantageous combination of drying properties, particularly with regard to open time and tack-free time as discussed above.

According to the present invention there is provided an aqueous coating composition with an improved open time comprising:

- a) 1 to 35 wt % of a crosslinkable water-dispersible oligomer(s);
- b) 4 to 50 wt % of a dispersed polymer(s);
- c) 3 to 75 wt% of a pigment with an oil absorption number $\leq 20\text{g oil / 100g pigment}$;
- d) 0 to 10 wt % of a Newtonian-like thickener(s);
- e) 0 to 10 wt % of a thixotropic thickener(s);
- f) 0 to 20 wt % of co-solvent;
- g) 10 to 80 wt % of water;

wherein $a) + b) + c) + d) + e) + f) + g) = 100\%$;

wherein the weight ratio of a) : b) is in the range of from 10:90 to 60:40; wherein d) + e) = 0.1 to 10% and wherein said composition when drying to form a coating has a tack-free time of ≤ 24 hours.

The presence of the crosslinkable oligomer(s) (as discussed above) provides the

SMC 60576

3

improved open time, whilst the presence of the dispersed polymer(s) (e.g. in the form of a polymer latex) appears to assist in reducing the drying time of the composition.

The open time for a coating composition is, in brief, the period of time that the main area (the bulk) of an applied aqueous coating remains workable after it has been applied to a substrate, in the sense that during this period re-brushing or application of more coating over the main area of a freshly coated wet substrate is possible and still results in a homogeneous film layer.

Open time is more formally defined as the maximum length of time, using the test method and under the specified conditions described later, in which a brush carrying the aqueous composition of the invention can be applied to the main area of a coating of the aqueous composition of the invention after which the coating flows back so as to result in a homogenous film layer.

Preferably the improved open time is at least 3, more preferably at least 4, most preferably at least 6 and especially at least 8 minutes longer than a reference formulation with identical amounts of components a) to g) but where the pigment is Tioxide TR50 with an oil absorption number of 26g oil/100g pigment, a water absorption number of 44 cm³ water/100g pigment and where the thickener used is Borchigel L75N, in an amount which will give a viscosity of 4000 to 5000 mPa.s at 6 rpm at 20°C, when measured with a Brookfield DV-II+ viscometer, spindle #4.

20 The reference formulation is described in more detail below.

Preferably the open time is at least 14 minutes, more preferably at least 17 minutes and most preferably at least 21 minutes.

25 The crosslinkable oligomer(s) may comprise a single crosslinkable oligomer or a mixture of crosslinkable oligomers. Crosslinkable oligomer(s) include but are not limited to for example polyurethane oligomer(s), vinyl oligomer(s), polyamide oligomer(s), polyether oligomer(s), polysiloxane oligomer(s) and/or polyester oligomer(s) and the crosslinkable oligomer(s) may optionally be branched (such branched oligomer(s) may also be known as hyperbranched macromolecule(s)).

30 The crosslinkable oligomer(s) may crosslink at ambient temperature by a number of mechanisms including but not limited to autoxidation, Schiff base crosslinking and silane condensation. By crosslinking by autoxidation is meant that crosslinking results from an oxidation occurring in the presence of air and usually involves a free radical mechanism and is preferably metal-catalysed resulting in covalent crosslinks. By Schiff base crosslinking is meant that crosslinking takes place by the reaction of a carbonyl functional group(s), whereby a carbonyl functional group herein is meant an aldo or keto group and includes an enolic carbonyl group such as is found in an acetoacetyl group, with a carbonyl-reactive amine and/or hydrazine functional group. Examples of carbonyl-reactive amine functional groups include ones provided by the following compounds or groups: R-NH₂, R-O-NH₂, R-O-N=C<, R-NH-C(=O)-O-N=C< and R-NH-C(=O)-O-NH₂ where R is optionally substituted C₁ to C₁₅ preferably C₁ to C₁₀ alkylene, optionally

SMC 60576

4

substituted alicyclic or optionally substituted aryl or R may also be part of a polymer. Examples of carbonyl-reactive hydrazine compounds or groups include R-NH-NH₂, R-C(=O)-NH-NH₂, R-C(=O)-NH-N=C<, R-NH-C(=O)-NH-NH₂ and R-NH-C(=O)-NH-N=C< where R is as described above. By silane condensation is meant the reaction of alkoxy silane or -SiOH groups in the presence of water, to give siloxane bonds by the elimination of water and/or alkanols (for example methanol) during the drying of the aqueous coating composition.

Other crosslinking mechanisms known in the art include those provided by the reaction of epoxy groups with amino, carboxylic acid or mercapto groups (or masked mercapto groups such as 5-(methacryloyloxy)methyl-1,3-oxathiolane-2-thione), the reaction of mercapto groups with ethylenically unsaturated groups such as fumarate and acryloyl groups, the reaction of masked epoxy groups with amino or mercapto groups, the reaction of isothiocyanates with amines, alcohols or hydrazines, the reaction of amines (for example ethylenediamine or multifunctional amine terminated polyalkylene oxides) with β -diketo (for example acetoacetoxy or acetoamide) groups to form enamines. The use of blocked crosslinking groups may be beneficial.

Preferably the crosslinkable oligomer(s) is a self-crosslinkable oligomer(s) (i.e. crosslinkable without the requirement for added compounds, which react with groups on the oligomer(s) to achieve crosslinking, although these can still be employed if desired).

Preferably the crosslinking is by autoxidation, optionally in combination with other crosslinking mechanisms as discussed herein. Suitably autoxidation is provided for example by fatty acid groups containing unsaturated bonds (by which is meant the residue of such fatty acids which have become incorporated into the oligomer by reaction at their carboxylic acid groups) or by (meth)allyl functional residues, β -keto ester groups or β -keto amide groups. Preferably autoxidation is provided by fatty acid groups containing unsaturated bonds.

Preferably the concentration of unsaturated fatty acid groups if present in the autoxidisable crosslinkable oligomer(s) is 10 to 80%, more preferably 12 to 70%, most preferably 15 to 60% by weight based on the weight of the oligomer(s). If combined with other autoxidisable groups in the aqueous coating composition, the fatty acid content may be below 10% by weight of the oligomer(s). For the purpose of determining the fatty acid group content of the oligomer(s), it is convenient for practical purposes to use the weight of the fatty acid reactant including the carbonyl group but excluding the hydroxyl group of the terminal acid group of the fatty acid. Suitable unsaturated fatty acids for providing fatty acid groups in the oligomer(s) include fatty acids derived from vegetable oil or non-vegetable oil such as soyabean oil, palm oil, linseed oil, tung oil, rapeseed oil, sunflower oil, tallow oil, (dehydrated) castor oil, safflower oil and fatty acids such as linoleic acid, linolenic acid, palmitoleic acid, oleic acid, elaeostearic acid, licanic acid, arachidonic acid, ricinoleic acid, erucic acid, gadoleic acid, clupanadonic acid and/or combinations thereof. Particularly preferred is an oligomer(s) in which the autoxidisable groups are only derived

SMC 60576

5

from unsaturated fatty acids. Preferably at least 40% by weight, more preferably at least 80% by weight, of the unsaturated fatty acid groups contain at least two unsaturated groups.

The crosslinkable oligomer(s) may be completely water-soluble or only have partial or low solubility in water. Preferably the crosslinkable oligomer(s) only has partial or little solubility in water. If the crosslinkable oligomer(s) is only partially or little soluble in water, it preferably has low water solubility in a pH range of from 2 to 10 and is either self-dispersible in water (i.e. dispersible by virtue of a sufficient concentration of selected bound (in-chain, chain-pendant and/or chain-terminal) hydrophilic groups built into the crosslinkable oligomer(s), and thus not requiring high shear techniques and/or added surfactants to produce the dispersion, although such methods can also be included if desired), or is only dispersible in water with the aid of added (i.e. external) surface active agents and/or the use of high shear mixing. Low water solubility confers the advantage of a reduced water-sensitivity of the applied coating. Such low water solubility is defined herein as the crosslinkable oligomer(s) being less than 70% by weight soluble in water throughout the pH range of from 2 to 10 as determined for example by a centrifuge test as described herein. Preferably the crosslinkable oligomer(s) is ≤ 60%, more preferably ≤ 50% most preferably ≤ 30% by weight soluble in water throughout the pH range of from 2 to 10. The crosslinkable oligomer(s) preferably contains a sufficient concentration of bound hydrophilic water-dispersing groups capable of rendering the oligomer(s) self-water-dispersible, but the concentration of such groups is preferably not so great that the oligomer(s) has an unacceptably high water solubility in order to not compromise the water sensitivity of the final coating.

The type of hydrophilic groups capable of rendering the crosslinkable oligomer(s) self-water-dispersible are well known in the art, and can be ionic water-dispersing groups (or a group which may be subsequently converted to such a water-dispersing group, e.g. by neutralisation, such a group still being termed a water-dispersing group for the purposes of this invention) or non-ionic water-dispersing groups. Preferred non-ionic water-dispersing groups are polyalkylene oxide groups, more preferably polyethylene oxide groups. A small segment of the polyethylene oxide group may be replaced by propylene oxide segment(s) and/or butylene oxide segment(s), however the polyethylene oxide group should still contain ethylene oxide as a major component. When the water-dispersible group is polyethylene oxide, the ethylene oxide group preferably has a Mw from 175 to 5000 Daltons, more preferably from 350 to 2200 Daltons, most preferably from 660 to 1100 Daltons. Preferably the crosslinkable oligomer(s) has a polyethylene oxide content of 0 to 50% by weight, more preferably 0 to 39% by weight and most preferably 2 to 35% by weight.

Preferred ionic water-dispersing groups are anionic water-dispersing groups, especially carboxylic, phosphoric and/or sulphonic acid groups. The anionic water-dispersing groups are preferably fully or partially in the form of a salt. Conversion to the

salt form is optionally effected by neutralisation of the crosslinkable oligomer(s) with a base, preferably during the preparation of the crosslinkable oligomer(s) and/or during the preparation of the composition of the present invention. The anionic dispersing groups may in some cases be provided by the use of a monomer having an already neutralised acid group in the crosslinkable oligomer(s) synthesis so that subsequent neutralisation is unnecessary. If anionic water-dispersing groups are used in combination with non-ionic water-dispersing groups, neutralisation may not be required.

If the anionic water-dispersing groups are neutralised, the base used to neutralise the groups is preferably ammonia, an amine or an inorganic base. Suitable amines include tertiary amines, for example triethylamine or N,N-dimethylethanamine. Suitable inorganic bases include alkali hydroxides and carbonates, for example lithium hydroxide, sodium hydroxide, or potassium hydroxide. A quaternary ammonium hydroxide, for example $\text{N}^+(\text{CH}_3)_3\text{OH}^-$, can also be used. Generally a base is used which gives counter ions that may be desired for the composition. For example, preferred counter ions include Li^+ , Na^+ , K^+ , NH_4^+ and substituted ammonium salts.

Cationic water dispersible groups can also be used, but are less preferred. Examples include pyridine groups, imidazole groups and/or quaternary ammonium groups, which may be neutralised or permanently ionised (for example with dimethylsulphate).

The crosslinkable oligomer(s) may be dispersed in water using techniques well known in the art. Preferably, the crosslinkable oligomer(s) is added to the water with agitation or, alternatively, water may be stirred into the crosslinkable oligomer(s).

Surfactants and/or high shear can be utilised in order to assist in the dispersion of the crosslinkable, water-dispersible oligomer(s) in water (even if it is self-dispersible). Suitable surfactants include but are not limited to conventional anionic, cationic and/or non-ionic surfactants such as Na, K and NH₄ salts of: dialkylsulphosuccinates, salts of sulphated oils; alkyl sulphonic acids; alkyl sulphates; and fatty acids, as well as alkali metal salts of sulphonic acids; fatty alcohols, ethoxylated fatty acids and/or fatty amides. Other anionic surfactants include phosphonic acid groups, phosphoric acid analogues and phosphates or carboxylic acid groups. Cationic surfactants include alkyl or (alk)aryl groups linked to quaternary ammonium salt groups. Non-ionic surfactants include polyglycol ether compounds and polyethylene oxide compounds. The amount of surfactant used is preferably 0 to 15% by weight, more preferably 0 to 8% by weight, still more preferably 0 to 5% by weight, especially 0.1 to 3% by weight, and most especially 0.3 to 2% by weight based on the weight of the crosslinkable oligomer(s).

The crosslinkable oligomer(s) preferably has a measured weight average molecular weight (Mw) in the range of from 1,000 to 100,000 Daltons, more preferably in the range of from 1,200 to 80,000 Daltons, most preferably in the range from 1,200 to 65,000 Daltons, and especially in the range of from 1,500 to 50,000 Daltons more especially in the range of from 2,200 to 40,000 Daltons and most especially in the range

SMC 60576

7

of from 2,200 to 20,000.

For the purpose of this invention any molecular species with a molecular weight \leq 1000 Daltons is classified as either a reactive diluent or a plasticiser and is therefore not taken into account for the determination of Mn, Mw or PDI. Plasticisers are defined as liquid compounds with a molecular weight of 200 to 1000 g/mole. Preferably the amount of plasticiser % by weight based on the solids content of the composition is \leq 15 wt %, preferably \leq 8 wt %, more preferably \leq 3 wt % and most preferably 0 wt %.

The molecular weight distribution (MWD) of the crosslinkable oligomer(s) has an influence on the equilibrium viscosity of the aqueous composition of the invention, and hence an influence on the open time. MWD is conventionally described by the polydispersity index (PDI). PDI is defined as the weight average molecular weight divided by the number average molecular weight (Mw/Mn) where lower values are equivalent to lower PDI's. It has been found that a lower PDI often results in lower viscosities for a given Mw crosslinkable oligomer(s). Preferably the value of PDI of the crosslinkable oligomer(s) is \leq 15, more preferably \leq 10, and most preferably \leq 5.

Preferably the crosslinkable oligomer(s) has a polyethylene oxide content of 0 to 45% by weight, more preferably 0 to 35% by weight and most preferably 2 to 25% by weight.

The crosslinkable oligomer(s) preferably has an acid value of in the range of from 0 to 80 mg KOH/g, more preferably in the range of from 0 to 50 mgKOH/g, still more preferably in the range of from 0 to 40 mg KOH/g, most preferably in the range of from 2 to 30 mg KOH/g and especially in the range of from 3 to 25 mgKOH/g.

The glass transition temperature (Tg) of the crosslinkable oligomer(s) may vary within a wide range. The Tg (as measured by modulated DSC) is preferably in the range of from -120 to 250°C, more preferably in the range of from -120 to 100°C, still more preferably in the range of from -70 to 70°C, especially in the range of from -50 to 20°C. Additional preferences for Tg, Mw and acid values for individual types of oligomer(s) are given below.

Preferably the composition comprises 2 to 28 wt %, more preferably 3 to 20 wt % and most preferably 4 to 15 wt % of a crosslinkable water-dispersible oligomer(s).

The water-dispersible crosslinkable oligomer(s), if a polyurethane oligomer(s), may be prepared in a conventional manner by reacting an organic polyisocyanate with an isocyanate-reactive compound. Isocyanate-reactive groups include -OH, -SH, -NH₂, and -NH₂.

Methods for preparing polyurethanes are known in the art and are described in for example the Polyurethane Handbook 2nd Edition, a Carl Hanser publication, 1994, by G. Oertel; and these methods are included herein by reference. In some preparations, an isocyanate-terminated polyurethane prepolymer is first formed which is then chain extended with an active hydrogen containing compound.

Suitable polyisocyanates include aliphatic, cycloaliphatic, araliphatic, and/or

aromatic polyisocyanates. Examples of suitable polyisocyanates include ethylene diisocyanate, 1,6-hexamethylene diisocyanate, isophorone diisocyanate, cyclohexane-1,4-diisocyanate, 4,4'-dicyclohexylmethane diisocyanate, p-xylylene diisocyanate, α,α' -tetramethylxylene diisocyanate, 1,4-phenylene diisocyanate, 2,4-toluene diisocyanate, 2,6-toluene diisocyanate, 4,4'-diphenylmethane diisocyanate, polymethylene polyphenyl polyisocyanates, 2,4'-diphenylmethane diisocyanate, 3(4)-isocyanatomethyl-1-methyl cyclohexyl isocyanate and 1,5-naphthylene diisocyanate. Mixtures of polyisocyanates can be used and also polyisocyanates which have been modified by the introduction of urethane, allophanate, urea, biuret, carbodimide, 10 uretonimide, urethione or isocyanurate residues.

Crosslinkable polyurethane oligomer(s) containing crosslinker groups are preferably obtained by employing as a reactant in the urethane synthesis at least one isocyanate-reactive organic compound bearing a crosslinker group(s). Alternatively, but less preferably, an isocyanate functional compound bearing a crosslinker group(s) may be used.

Hydrophilic water-dispersing groups, if present, are preferably introduced by employing as a reactant(s) in the urethane synthesis at least one isocyanate-reactive compound (or less preferably an isocyanate-functional compound(s)) bearing a non-ionic and/or ionic water-dispersing group(s) (as described above) as a reactant in the preparation of the polyurethane oligomer or prepolymer. Examples of such compounds include carboxyl group containing diols and triols, for example dihydroxy alkanic acids such as 2,2-dimethylolpropionic acid or 2,2-dimethylolbutanoic acid. Examples of preferred compounds bearing non-ionic water-dispersing groups include methoxy polyethylene glycol (MPEG) with molecular weights of for example 350, 550, 750, 1000 and 2000, as described in EP 0317258.

Other isocyanate-reactive organic compounds bearing no hydrophilic water-dispersing groups which may be used in the preparation of crosslinkable polyurethane oligomer(s) or polyurethane prepolymers preferably contain at least one (more preferably at least two) isocyanate-reactive groups, and are more preferably organic polyols. The organic polyols particularly include diols and triols and mixtures thereof but higher functionality polyols may be used, for example as minor components in admixture with diols. The polyols may be members of any of the chemical classes of polyols used or proposed to be used in polyurethane formulations. In particular the polyols may be polyesters, polyesteramides, polyethers, polythioethers, polycarbonates, polyacetals, polyolefins or polysiloxanes. Preferred polyol molecular weights are from 250 to 6000, more preferably from 500 to 3000. Low molecular weight organic compounds containing at least one (more preferably at least two) isocyanate-reactive groups and having a weight average molecular weight up to 500, preferably in the range of 40 to 250 can also be used. Examples include ethyleneglycol, neopentyl glycol, 1-propanol, and 1,4-cyclohexyldimethanol.

SMC 60576

When an isocyanate-terminated polyurethane prepolymer is prepared, it is conventionally formed by reacting a stoichiometric excess of the organic polyisocyanate with the isocyanate-reactive compounds under substantially anhydrous conditions at a temperature between about 30°C and about 130°C until reaction between the isocyanate groups and the isocyanate-reactive groups is substantially complete; the reactants for the prepolymer are generally used in proportions corresponding to a ratio of isocyanate groups to isocyanate-reactive groups of from about 1.1:1 to about 6:1, preferably from about 1.5:1 to 3:1.

Alternatively a hydroxyl-terminated crosslinkable polyurethane oligomer(s) may be prepared directly by reacting the reactants in proportions corresponding to a ratio of isocyanate groups to isocyanate-reactive groups of from about 0.4:1 to about 0.99:1, preferably from about 0.55:1 to 0.95:1.

If desired, catalysts such as dibutyltin dilaurate and stannous octoate, zirconium or titanium based catalysts may be used to assist the crosslinkable polyurethane oligomer(s) formation. An organic solvent may optionally be added before or after prepolymer or final oligomer formation to control the viscosity. Examples of solvents include water-miscible solvents such as N-methylpyrrolidone, dimethyl acetamide, glycol ethers such as butyldiglycol, 2-propanone and alkyl ethers of glycol acetates or mixtures thereof. Optionally no organic solvents are added.

An aqueous crosslinkable polyurethane oligomer(s) dispersion may also be prepared, when the prepolymer/chain extension route was employed, by dispersing the isocyanate-terminated polyurethane prepolymer (optionally carried in an organic solvent medium) in an aqueous medium and chain extending the prepolymer with active hydrogen-containing chain extender in the aqueous phase.

Active hydrogen-containing chain extenders, which may be reacted with the isocyanate-terminated polyurethane prepolymer, include amino-alcohols, primary or secondary diamines or polyamines, hydrazine, and substituted hydrazines.

Examples of such chain extenders useful herein include alkylene diamines such as ethylene diamine and cyclic amines such as isophorone diamine. Also materials such as hydrazine, azines such as acetone azine, substituted hydrazines such as, for example, dimethyl hydrazine, 1,6-hexamethylene-bis-hydrazine, carbodihydrazine, hydrazides of dicarboxylic acids and sulphonic acids such as adipic acid mono- or dihydrazide, oxalic acid dihydrazide, isophthalic acid dihydrazide, hydrazides made by reacting lactones with hydrazine such as gamma-hydroxybutyric hydrazide, bis-semi-carbazide, and bis-hydrazide carbonic esters of glycols may be useful. Water itself may be effective as an indirect chain-extender.

Where the chain extender is other than water, for example a polyamine or hydrazine, it may be added to the aqueous dispersion of the isocyanate-terminated polyurethane prepolymer or, alternatively, it may already be present in the aqueous medium when the isocyanate-terminated polyurethane prepolymer is dispersed therein.

SMC 60576

10

Optionally a combination of chain extender(s) and chain terminator(s) may be used. Examples of chain terminators are mono-functional isocyanate-reactive compounds such as mono-alcohols, mono-amines, mono-hydrazines and mono-mercaptanes. The ratio of chain extender to chain terminator compounds is preferably in the range of from 95:5 to 5:95, more preferably 50:50 to 10:90 and most preferably 35:65 to 20:80.

The total amount of chain extender and chain terminating materials employed (apart from water) should be such that the ratio of active hydrogens in the chain extender(s) to isocyanate groups in the polyurethane prepolymer preferably is in the range from 0.1:1 to 2.0:1 more preferably 0.80:1 to 1.7:1.

Any other known methods for preparing polyurethane dispersions such as a ketamine/ketazine process or a hot process as described in "Progress in Organic Coatings", D. Dietrich, 9, 1981, p 281) may also be utilised.

The crosslinkable polyurethane oligomer(s) preferably has at least one glass transition temperature (Tg) in the range of from -50 to 0°C.

The crosslinkable water-dispersible oligomer(s) if a polyester oligomer(s) can be prepared using conventional polymerisation procedures known to be effective for polyester synthesis. General processes for the preparation of polyesters are described in "Alkyd Resin Technology" by T C Patton, Publisher John Wiley & sons Inc. (1962). It is known that polyesters, which contain carbonyloxy (i.e. -C(=O)-O-) linking groups may be prepared by a condensation polymerisation process in which monomer(s) providing an "acid component" (including ester-forming derivatives thereof) is reacted with monomer(s) providing a "hydroxyl component". The monomer(s) providing an acid component may be selected from one or more polybasic carboxylic acids such as di- or tri-carboxylic acids or ester-forming derivatives thereof such as acid halides, anhydrides or esters. The monomer(s) providing a hydroxyl component may be one or more polyhydric alcohols or phenols (polyols) such as diols, triols, etc. Mono-functional acid and hydroxy components may also be included in the preparation of the crosslinkable polyester oligomer(s). (It is to be understood, however, that the crosslinkable polyester oligomer(s) may contain, if desired, a proportion of carbonyl amino linking groups -C(=O)-NH- (i.e. amide linking group) by including an appropriate amino functional reactant as part of the "hydroxyl component" or alternatively all of the hydroxyl component may comprise amino functional reactants, thus resulting in a polyamide oligomer; such amide linkages are in fact useful in that they are more hydrolysis resistant).

There are many examples of carboxylic acids (or their ester forming derivatives) which can be used in crosslinkable polyester oligomer(s) synthesis for the provision of the monomer(s) providing an acid component. Examples include, but are not limited to C₂ to C₂₂ monocarboxylic acids such as (alkylated) benzoic acid and hexanoic acid; and C₄ to C₂₀ aliphatic, alicyclic and aromatic dicarboxylic acids (or higher functionality acids) or their ester-forming derivatives (such as anhydrides, acid chlorides, or lower alkyl esters).

SMC 60578

11

Specific examples include adipic acid, fumaric acid, malic acid, succinic acid, itaconic acid, azelaic acid, sebacic acid, nonanedioic acid, decanedioic acid, 1,4-cyclohexanedicarboxylic acid, 1,3-cyclohexanedicarboxylic acid, 1,2-cyclohexanedicarboxylic acid, terephthalic acid, fatty acid dimers, isophthalic acid, 5-sodiosulpho isophthalic acid, phthalic acid and tetrahydrophthalic acid. Anhydrides include succinic, maleic, phthalic, trimellitic and hexahydrophthalic anhydrides.

Similarly there are many examples of polyols which may be used in crosslinkable polyester oligomer(s) synthesis for the provision of the monomer(s) providing a hydroxyl component. The polyol(s) preferably have from 1 to 6, more preferably 2 to 4, hydroxyl groups per molecule. Suitable monofunctional alcohols include for example eicosanol and lauryl alcohol. Suitable polyols with two hydroxy groups per molecule include diols such as 1,2-ethanediol, 1,3-propanediol, 1,4-butanediol, 1,6-hexanediol, 2,2-dimethyl-1,3-propanediol (neopentyl glycol), the 1,2-, 1,3- and 1,4-cyclohexanediols and the corresponding cyclohexane dimethanols, diethylene glycol, dipropylene glycol, and diols such as alkoxylated bisphenol A products, e.g. ethoxylated or propoxylated bisphenol A. Suitable polyols with three hydroxy groups per molecule include triols such as trimethylolpropane (TMP) and 1,1,1-tris (hydroxymethyl)ethane (TME).

The crosslinker groups may be introduced into the polyester oligomer(s) using two general methods: i) by utilising in the polymerisation process to form a polyester oligomer(s) a monomer(s) carrying a crosslinker group; or ii) utilising a monomer(s) bearing a reactive group which may be reacted with a compound carrying a crosslinker group and a corresponding reactive group.

Suitable compounds bearing non-ionic water dispersing groups include for example ethylene oxide-containing hydroxy functional compounds such as alkoxypolyethylene glycols and polyethylene glycols. Preferably the ionic water-dispersing groups are carboxylic acid groups, sulphonic acid groups or sulphonate anion groups. Preferably incorporation of carboxylic acid groups can occur by having a residual carboxylic acid functionality, post functionalisation of hydroxy-functionalised polyester oligomer(s) or use of sterically hindered hydroxy functional acids such as dimethylolpropionic acid. Examples of suitable compounds are the alkali metal salts of sulphonic acid substituted aromatic dicarboxylic acids, for example alkali metal salts of 5-sulpho-1,3-benzene dicarboxylic acid. Particularly preferred is sodio-5-sulphobisophthalic acid (SSIPA).

Preferably the ionic sulphonate water-dispersing group content of the crosslinkable polyester oligomer(s) is in the range of from 7.5 to 100 milliequivalents of ionic water-dispersing groups per 100g of crosslinkable polyester oligomer(s), more preferably from 10 to 75 milliequivalents per 100g. Preferably the acid value of the crosslinkable polyester oligomer(s) is in the range of from 3 to 25 mgKOH/g.

Preferably the crosslinkable polyester oligomer(s) has a polyethylene oxide content of 5 to 25% by weight.

SMC 60576

12

The esterification polymerisation processes for making the crosslinkable polyester oligomer(s) for use in the invention composition are well known in the art and need not be described here in detail. Suffice to say that they are normally carried out in the melt using catalysts such as tin-based catalysts and with the provision for removing any water (or alcohol) formed from the condensation reaction. A solidified melt is preferably in a form such as flake or ground solid.

The crosslinkable polyester oligomer(s) may be dispersed in water using techniques well known in the art. An aqueous dispersion of the crosslinkable polyester oligomer(s) may be readily prepared by adding water directly to the hot crosslinkable polyester oligomer(s) melt, by adding an aqueous pre-dispersion (or organic solvent solution) of the polyester oligomer(s) to the water phase, or by dispersion of the solidified melt from the condensation polymerisation directly into water.

The crosslinkable, water-dissipatable oligomer(s) if a vinyl oligomer(s) is usually derived from free radically polymerisable olefinically unsaturated monomer(s); which are also known as vinyl monomer(s) and can contain polymerised units of a wide range of such monomers, especially those commonly used to make binders for the coatings industry. By a vinyl oligomer herein is meant a homo- or co-oligomer of one or more vinyl monomers.

The hydrophilic water-dispersing groups may be introduced by for example i) utilising in the synthesis of the crosslinkable vinyl oligomer(s) a vinyl monomer which carries a hydrophilic water-dispersing group (for example an olefinically unsaturated monocarboxylic, sulphonic and/or dicarboxylic acid, such as acrylic acid, methacrylic acid, β-carboxy ethylacrylate, fumaric acid or itaconic acid, an amide such as (meth)acrylamide, or a polyethyleneoxide containing (meth)acrylate monomer such as methoxy(polyethyleneoxide (meth)acrylate) or a hydroxyalkyl (meth)acrylate like hydroxyethyl (meth)acrylate HE(M)A, or alternatively ii) utilising a precursor vinyl oligomer bearing reactive groups which is subsequently reacted with a compound carrying a water-dispersing group.

The crosslinker groups may be introduced into a vinyl oligomer using two general methods: i) by utilising a vinyl comonomer(s) which carries a crosslinker group; or ii) utilising a precursor vinyl oligomer selected reactive groups and which precursor oligomer is subsequently reacted with a compound carrying a crosslinker group. An example of i) is the preparation of an adduct of GMA (glycidylmethacrylate) and an unsaturated fatty acid to form a methacrylate bearing an unsaturated fatty acid residue as a crosslinker group, and then using this as a comonomer in the polymerisation synthesis of the crosslinkable vinyl oligomer. An example of ii) is the initial formation of a precursor vinyl oligomer bearing epoxide groups by employing GMA as a comonomer in the synthesis of the precursor oligomer and then reacting this with an unsaturated fatty acid whereby the acid and epoxide groups react to attach the unsaturated fatty acid residue crosslinker group by covalent bonding.

SMC 60576

13

Examples of vinyl monomers which may be used to form a crosslinkable vinyl oligomer include but are not limited to 1,3-butadiene, isoprene, styrene, α -methyl styrene, divinyl benzene, acrylonitrile, methacrylonitrile, vinyl halides such as vinyl chloride, vinylidene halides such as vinylidene chloride, vinyl ethers, vinyl esters such as vinyl acetate, vinyl proponate, vinyl laurate, and vinyl esters of *versatilic acid* such as VeoVa 9 and VeoVa 10 (VeoVa is a trademark of Shell), heterocyclic vinyl compounds, alkyl esters of mono-olefinically unsaturated dicarboxylic acids (such as di-n-butyl maleate and di-n-butyl fumarate) and, in particular, esters of acrylic acid and methacrylic acid of formula

10



15

wherein R¹ is H or methyl and R² is optionally substituted alkyl or cycloalkyl of 1 to 20 carbon atoms (more preferably 1 to 8 carbon atoms) examples of which are methyl acrylate, methyl methacrylate, ethyl acrylate, ethyl methacrylate, n-butyl acrylate, n-butyl methacrylate, 2-ethylhexyl acrylate, 2-ethylhexyl methacrylate, isopropyl acrylate, isopropyl methacrylate, n-propyl acrylate, n-propyl methacrylate, and hydroxyalkyl (meth)acrylates such as hydroxyethyl acrylate, hydroxyethyl methacrylate, 2-hydroxypropyl methacrylate, 2-hydroxypropyl acrylate, 4-hydroxybutyl acrylate, 4-hydroxybutyl methacrylate and their modified analogues like Tone M-100 (Tone is a trademark of Union Carbide Corporation).

20

Particularly preferred is a crosslinkable vinyl oligomer made from a monomer system comprising at least 40 weight % of one or more monomers of the formula CH₂=CR¹COOR² as defined above. Such a preferred crosslinkable vinyl oligomer is defined herein as a crosslinkable acrylic oligomer. More preferably, the monomer system contains at least 50 wt.% of such monomers, and particularly at least 60 wt.%. The other monomer(s) in such acrylic oligomer(s) (if used) may include one or more of the other vinyl monomers mentioned above, and/or may include ones different to such other monomers. Particularly preferred monomers include butyl acrylate (all isomers), butyl methacrylate, methyl methacrylate, ethyl hexyl methacrylate, esters of (meth)acrylic acid, acrylonitrile, vinyl acetate and styrene.

35

The crosslinkable vinyl oligomer(s) is preferably prepared by free radical polymerisation, although in some circumstances anionic polymerisation may be utilised. The free radical polymerisation can be performed by techniques known in the art, for example by emulsion polymerisation, solution polymerisation, suspension polymerisation or bulk polymerisation.

40

A free-radical polymerisation of vinyl monomer(s) to form a crosslinkable vinyl oligomer(s) will require the use of a free-radical-yielding initiator(s) to initiate the vinyl monomer polymerisation. Suitable free-radical-yielding initiators include inorganic peroxides such as K, Na or ammonium persulphate, hydrogen peroxide, or percarbonates; organic peroxides, such as acyl peroxides including e.g. benzoyl peroxide,

alkyl hydroperoxides such as t-butyl hydroperoxide and cumene hydroperoxide; dialkyl peroxides such as di-t-butyl peroxide; peroxy esters such as t-butyl perbenzoate and the like; mixtures may also be used. The peroxy compounds are in some cases advantageously used in combination with suitable reducing agents (redox systems) such as Na or K pyrosulphite or bisulphite, and Iso-ascorbic acid. Azo compounds such as azobisisobutyronitrile may also be used. Metal compounds such Fe.EDTA (EDTA is ethylene diamine tetracetic acid) may also be usefully employed as part of the redox initiator system. It is possible to use an initiator system partitioning between the aqueous and organic phases, e.g. a combination of t-butyl hydroperoxide, Iso-ascorbic acid and Fe.EDTA. The amount of initiator or initiator system to use is conventional, e.g. within the range 0.05 to 6 wt.% based on the total vinyl monomer(s) used.

It may be desirable to control the molecular weight of the vinyl oligomer(s) by addition of a chain transfer agent to the free radical polymerisation process. Conventional chain transfer agents may be utilised and include mercaptans, sulphides, disulphides, triethylamine and halo carbons. In particular however the technique known as catalytic chain transfer polymerisation (CCTP) may be used to provide low molecular weights. In this case a free radical polymerisation is carried out using a free radical forming initiator and a catalytic amount of a selected transition metal complex acting as a catalytic chain transfer agent (CCTA), and in particular a selected cobalt chelate complex. Such a technique has been described for example in N.S. Enikolopyan et al, J.Polym.Chem.Ed., Vol 19, 879 (1981), US 4526945, US 4680354, EP-A-0196783, EP-A-0199436, EP-A-0788518 and WO-A-87/03605.

The use of catalytic chain transfer agents provide important benefits such as such as a) very low concentrations of catalytic chain transfer agent (typically 1 to 1000 ppm by weight of vinyl monomer used) are required to attain the preferred low molecular weight oligomer and do not have the odour often associated with conventional chain transfer agents;

b) CCTP allows the preparation of a vinyl oligomer(s) which has a narrower PDI than is achievable by the use of conventional chain transfer agents for low Mw oligomer(s). As discussed above, low PDI favours low viscosity in the bulk and in solution (for a given Mw), which in turn leads to longer open time and wet edge time.

The crosslinkable vinyl oligomer(s) of the composition of the invention preferably has an acid value in the range of from 5 to 15 mg KOH/g.

The non-crosslinkable, water-dispersible oligomer(s) if a hyperbranched macromolecule(s) may be prepared by controlled step-growth (condensation) polymerisation and uncontrolled chain-growth (addition) polymerisation. Methods for preparing hyperbranched or dendritic molecules are known in the art and are described in for example in Tomalia et al (Angewandte Chemie International Edition English, 1990, Vol 29, pp 138-175) and the Encyclopaedia of Polymer Science and Engineering, Volume Index 1990, pp 46-92. Methods for preparing hyperbranched macromolecule(s) are also

SMC 60576

15

reviewed in US 5418301, US 5663247, WO 96/19537, WO 96/13559, US 5270402, US 5136014, US 5183862, WO 93/18079, US 5266106 and US 5834118 and these methods are included herein by reference.

The crosslinkable hyperbranched macromolecule(s) are often derived from a nucleus (or core molecule) having one or more reactive groups to which successive groups of branching and/or chain-extender molecules having at least two reactive groups are added to form branches. Each successive group of branching and/or chain-extender molecules is normally known as a generation. The branches may then be chain-terminated by adding a chain-terminator molecule(s) having one reactive group that is reactive towards a reactive group on the branch. Alternatively the branches can be made first and linked together afterwards to give the hyperbranched macromolecule(s).

The size, shape (for example spheroid-, comb-, cylindrical- or ellipsoid-shaped) and properties of hyperbranched macromolecule(s) can be controlled by the choice of the core molecule, the number of generations, the degree of branching and the choice and amount of chain-extender and chain-terminator molecules employed.

Examples of core molecules include but are not limited to molecules having one or more carboxylic acid groups (including monofunctional carboxylic acids having at least two hydroxyl groups such as dimethylolpropionic acid), amine groups (including ammonia, polyfunctional amines, such as ethylene diamine, linear and/or branched polyethylenimines), halide groups, hydroxyl groups (including mono- and polyfunctional alcohols such as pentaerythritol, dipentaerythritol, alkyl glucosides, neopentyl glycol, tris(hydroxymethyl)ethane, trimethylolpropane (TMP), bis-TMP, sorbitol, mannitol, saccharides, sugar alcohols, 1,1,1-tris-(4'-hydroxyphenyl)-ethane, 3,5-dihydroxybenzyl alcohol) or epoxide groups.

Examples of chain-extender molecules include but are not limited to diisocyanates, diethylene diamine, diols, and carboxylic anhydrides. Examples of branching molecules include but are not limited to, for example, 3,5-dihydroxybenzyl alcohol; monofunctional carboxylic acids having at least two hydroxyl groups, such as dimethylolpropionic acid, and dimethylolbutanoic acid, hydroxy functional diacids (or their esters) such as aspartate esters, 5-hydroxy-isophthalic acid, but may also be indirectly obtained, for example through two Michael additions of an acrylate ester or acrylonitrile to one primary amine functional group, or through reaction of a carboxylic acid functional anhydride such as trimellitic anhydride (TMA) with an OH functional group, which results in a diacid functional group.

Examples of chain-terminator molecules include but are not limited to mono-functional molecules (or oligomers) carrying for example epoxide, isocyanate, hydroxyl, thiol, carboxylate, carboxylic anhydride, ester, amides, phosphates, amino, sulphonate and carboxylic acid groups (such as benzoic acid and (meth)acrylic acid, which react with the reactive groups on the periphery of the hyperbranched macromolecule(s)).

Suitable reactive groups of the molecules used in the preparation of hyperbranched macromolecule(s) usually include but are not limited to hydroxyl, carboxylic acid, epoxide, amine, allyl, acryloyl, carboxylic esters, carboxylic anhydrides, silanes, nitriles (which after reduction give amines) and oxazolines.

5 The crosslinker and/or hydrophilic water-dispersing groups may be introduced into the hyperbranched macromolecule(s) using two general methods: i) by utilising in the polymerisation process to form a hyperbranched macromolecule(s), a branching molecule, a chain-extender molecule and/or chain-terminator molecule carrying a crosslinker group and/or hydrophilic water-dispersing groups; or ii) utilising a molecule chain-extender and/or chain-terminator compound bearing selected reactive groups and which molecule is subsequently reacted with a compound carrying a crosslinker group and/or hydrophilic water-dispersing groups.

10 Preferably the crosslinkable hyperbranched macromolecule(s) has a polyethylene oxide content of 7 to 38% by weight and more preferably 12 to 35% by weight.

15 The aqueous composition of the Invention also includes a polymer(s) dispersed therein which is not a crosslinkable oligomer(s) and preferably has a $M_w \geq 110,000$ Daltons, herein termed a "dispersed polymer" for convenience.

20 The dispersed polymer(s) may for example be a vinyl polymer, polyurethane, polyester, polyether, polyamide, polyepoxide, or a mixture thereof. The dispersed polymer(s) may also be a hybrid of two or more different polymer types such as urethane-acrylic polymers (as described in for example US 5137961), epoxy-acrylic polymers and polyester-acrylic polymers. The dispersed polymer(s) may also be an organic-inorganic hybrid, for example silica particles grafted with a vinyl polymer(s). Preferably the dispersed polymer(s) is a vinyl polymer. Blends of dispersed polymers may of course also be used. The dispersed polymer(s) may be prepared as described above for the crosslinkable oligomer(s).

25 Preferably the weight average molecular weight of the dispersed polymer(s) M_w in the aqueous polymer dispersion is in the range of from 110,000 to 6,000,000, more preferably in the range of from 150,000 to 2,000,000, and especially in the range of from 250,000 to 1,500,000 Daltons. If the dispersed polymer(s) is fully precrosslinked its M_w will be infinite. Also, in some cases, the synthesis to form the crosslinkable oligomer(s) yields, in addition to the low molecular weight oligomer, an amount of very high molecular weight material. For the purposes of this invention, such very high molecular weight material, produced *in-situ*, is to be considered as a dispersed polymer.

30 The M_w of the dispersed polymer(s) may be $< 110,000$ Daltons with the proviso that it is non-crosslinkable.

The dispersed polymer(s) may be film forming or non-film forming at ambient temperature.

35 Preferably the dispersed polymer(s) has a measured T_g (using DSC) which is preferably in the range of from -50 to 300°C, and more preferably in the range of from 25

SMC 60576

17

to 200°C and especially in the range of from 35 to 125°C. If the dispersed polymer(s) is a vinyl polymer, the vinyl polymer may be a sequential polymer, i.e. the vinyl polymer will have more than one Tg. Especially preferred is a vinyl polymer with 10 to 50 wt.% of a soft part with a Tg in the range of from -30 to 20°C and 50 to 90 wt.% of a hard part of with a Tg in the range of from 60 to 110°C. This combination provides an additional advantage of improved block resistance of the resultant coating, especially when co-solvent levels of 0 to 15 wt.%, more preferably 0 to 5 wt.% and most preferably 0 to 3 wt.% of the aqueous composition are used. Blocking is the well-known phenomenon of coated substrates which are in contact tending to unacceptably adhere to each other, for examples doors and windows in their respective frames, particularly when under pressure, as for example in stacked panels.

Preferably the dispersed polymer(s) has an average particle size in the range of from 25 to 1000 nm, more preferably 50 to 600 nm, more preferably 100 to 500 nm and especially in the range of from 150 to 450nm. The dispersed polymer(s) may also have a polymodal particle size distribution.

Preferably the composition comprises 7 to 40 wt % and more preferably 10 to 30 wt % of a dispersed polymer(s).

The dispersed polymer(s) may optionally contain acid groups. The preferred acid value of the dispersed polymer(s) depends on the type and molecular weight of crosslinkable oligomer(s) and (if present) the type of cosolvent used. If the crosslinkable oligomer(s) is hydrophilic, the cosolvent (if used) is preferably also of a hydrophilic nature and a low acid value of the dispersed polymer(s) is preferred (preferably below 40, more preferably below 30, especially below 24, more especially below 19 and most especially below 15 mg KOH/g). If however a hydrophobic crosslinkable oligomer is used, for instance without dispersing groups, the co-solvent is preferentially of a hydrophobic nature (if at all present) and therefore much higher acid values (up to an acid value of 160, more preferably up to an acid value of 125, most preferably up to an acid value of 100 mg KOH/g) of the dispersed polymer(s) may be tolerated to give the desired properties.

The dispersed polymer(s) may optionally contain hydroxyl groups. If the dispersed polymer(s) is a vinyl polymer comprising polymerised (meth)acrylic monomers then preferably the hydroxyl group content in the vinyl polymer is preferably below 1.0 wt.%, more preferably below 0.5 wt.% and most preferably below 0.2 wt.% based on the weight of the vinyl polymer.

The dispersed polymer(s) may optionally contain amide groups (such groups being e.g. obtainable from amide-functional monomers such as (meth)acrylamide). If the dispersed polymer(s) is a vinyl polymer comprising polymerised (meth)acrylamide monomers, then preferably the amide group content in the vinyl polymer is below 3.0 wt.%, more preferably below 1.5 wt.% and most preferably below 0.8 wt.% based on the weight of the vinyl polymer.

The dispersed polymer(s) may optionally contain wet-adhesion promoting groups such as acetoxycetoxy groups, (optionally substituted) amine or urea groups, for example cyclic ureido groups, imidazole groups, pyridine groups, hydrazide or semicarbazide groups.

5 The dispersed polymer(s) may optionally contain crosslinker groups which allow crosslinking of the dispersed polymer(s), thus speeding up the drying rate and improving the properties of the final coating (e.g. chemical resistance and scratch resistance).

10 Preferably a significant part of any crosslinking reaction only takes place after application of the aqueous coating composition to a substrate, to avoid an excessive molecular weight build up in the invention composition prior to such application (by precrosslinking) which may lead to impaired film formation and a decrease in water-resistance.

15 In an embodiment the dispersed polymer(s) may be fully or partially pre-crosslinked (i.e. fully or partially crosslinked while present in the invention aqueous coating composition and prior to applying a coating). Preferably the dispersed polymer(s) is partially pre-crosslinked. If the dispersed polymer(s) is a dispersed vinyl polymer(s) pre-crosslinking may be achieved by using polyunsaturated monomers during the vinyl polymer synthesis such as allyl methacrylate, diallyl phthalate, tripropylene glycol di(meth)acrylate, 1,4-butanediol diacrylate and trimethylol propane triacrylate. Allyl methacrylate is most preferred. Alternatively very low levels of initiator may be used, leading to chain-transfer to the dispersed vinyl polymer(s) and hence to grafting and high Mw. Other ways to generate pre-crosslinking in a dispersed vinyl polymer(s) is to include the use of monomer(s) bearing groups which may react with each other during synthesis to effect pre-crosslinking for example glycidylmethacrylate and acrylic acid.

20 25 Particularly preferred dispersed vinyl polymer(s) are acrylic polymer(s) prepared from acrylic monomers as described above.

In a preferred embodiment the dispersed vinyl polymer(s) comprises:

- I. 15 to 80 wt.% of styrene and/or α -methylstyrene;
- II. 0 to 50 wt.% of one or more of methyl methacrylate, ethyl methacrylate, cyclohexyl (meth)acrylate and n-butyl methacrylate;
- III. 0 to 7 wt.%, more preferably 0 to 3.5 wt.%, of vinyl monomer(s) containing a carboxylic acid group(s);
- IV. 0 to 10 wt.%, more preferably 0 to 5 wt.% of vinyl monomer(s) containing a non-ionic water-dispersing group(s);
- V. 5 to 40 wt.% of vinyl monomer(s) other than as in I to IV, VI and VII;
- VI. 0 to 5 wt.% of vinyl monomer(s) containing wet adhesion promoter or crosslinker groups (excluding any within the scope of III and VII); and
- VII. 0 to 8 wt.%, more preferably 0 to 4 wt.%, and most preferably 0.5 to 3 wt.% of a polyethylenically unsaturated vinyl monomer.

SMC 60576

19

wherein I) + II) add up to at least 50 wt.% and I + II + III + IV + V + VI + VII add up to 100%.

Methods for preparing aqueous dispersed polymer(s) are reviewed in the *Journal of Coating Technology*, volume 66, number 839, pages 89-105 (1995) and *Emulsion Polymerisation and Emulsion Polymers*, P. Lovell, M.S. El-Aasser, John Wiley, 1997 and these methods are included herein by reference. Any published variant of the emulsion polymerisation process may be utilised to prepare the dispersed polymer(s), including the use of seeded emulsion polymerisation techniques to control particle size and particle size distribution, sequential polymerisation and power feed technique (chapter 23 in "Emulsion Polymers and Emulsion Polymerisation" D R Basset and AE Hamielec, ACS Symposium Series No 165, 1981).

Preferably the dispersed polymer(s) is colloid stable and it is also desirable that colloid stability is maintained for as long as possible into the drying process since early loss of colloid stability can bring a premature end to open time. Since the final coating composition may often contain co-solvents and dissolved ionic species (e.g. from pigment dissolution and from the presence of neutralising agents), it is desirable that the colloid stability of the dispersed polymer(s) is adequate to withstand any destabilising influences of these components. Colloid stability may be achieved by the addition of surfactants at any stage during the preparation of the aqueous composition of the invention. Higher levels of colloid stability may be obtained by chemically binding or partially binding hydrophilic stabilising groups such as polyethylene oxide groups to the surface of dispersed polymer(s) particles. Suitable surfactants and stabilising groups are described in "Non Ionic Surfactants-Physical Chemistry" (MJ Schick, M Dekker Inc. 1987), "Polymer Colloids" (Buscall, Corner & Stageman, Elsevier Applied Science Publishers 1985), (DH Craig, *Journal of Coatings Technology* 61, no. 779, 48, 1989) and EP 0317258.

The combination of crosslinkable oligomer(s) and dispersed polymer(s) is most conveniently prepared by physically blending the corresponding aqueous dispersions. An alternative method is to prepare the crosslinkable oligomer(s) in organic solvent solution and to disperse this solution directly into an aqueous dispersed polymer(s). Another method is to introduce the crosslinkable oligomer(s) into an aqueous free radical polymerisation reaction which produces the dispersed polymer(s).

The crosslinkable oligomer(s) may also be diluted with reactive diluent (for example vinyl monomers) at any stage of its preparation and then dispersed in water containing a dispersed polymer(s), followed by polymerisation of the reactive diluent in the presence of the crosslinkable oligomer(s) and the dispersed polymer(s). Optionally, depending on the nature of the reactive diluent, no further polymerisation of the reactive diluent prior to use in a coating may be required.

Alternatively the crosslinkable oligomer(s) and dispersed polymer(s) may be combined by preparing a redispersible dry powder form of the dispersed polymer(s), and then dispersing the redispersible dry powder directly into an aqueous dispersion of the

crosslinkable oligomer(s). Methods for preparing redispersible dry powders from polymer emulsions are described for example in US 5,962,554, DE 3323804 and EP 0398676.

In a preferred embodiment of the invention the crosslinkable oligomer(s) and the dispersed polymer(s) are compatible in the drying aqueous composition. Preferably the crosslinkable oligomer(s) and the dispersed polymer(s) give clear films upon film formation after coating of the aqueous composition onto a substrate.

Preferably the ratios by weight of solid material of crosslinkable oligomer(s) to dispersed polymer(s) are in the range of from 10:90 to 50:50, more preferably in the range of from 15:85 to 40:60, still more preferably in the range of from 20:80 to 35:65.

Preferably the composition of the invention comprises 3 to 68 wt %, more preferably 3 to 60 wt %, most preferably 20 to 57 wt % and especially 45 to 55 wt % of a pigment with an oil absorption number \leq 20 g oil/100 g pigment.

Preferably the oil absorption number of the pigment is \leq 18 g oil, more preferably \leq 16 g oil/100g pigment. The oil absorption number is determined by the palette knife method ISO 787, part 6. The pigment may be any pigment known in the art and is preferably an inorganic pigment. Examples of inorganic pigments include but are not limited to metallic oxides such as titanium dioxides, zinc oxides, iron oxides, cobalt oxides and chromium oxides; metal powder suspensions such as gold and aluminium; earth colours such as siennas, ochres and umbers; and lead chromates. The most preferred inorganic pigment is titanium dioxide (rutile).

Organic pigments include mineral pigments such as carbon black.

The physical characteristics of pigments such as their oil absorption number have been found to influence the rheology. Other characteristics include the water absorption number. Preferably the water absorption number is \leq 40 cm³, more preferably \leq 35 cm³, and most preferably \leq 30 cm³ and especially \leq 28 cm³ per 100 g of pigment, when measured using the procedure given below.

The oil and water absorption numbers may be influenced by the particle size and any surface treatment of pigments.

The pigment volume concentration (PVC) of pigment is preferably about 1 to 48%, more preferably about 1 to 36%, most preferably about 2 to 26%, especially about 6 to 24 wt% and most especially about 17 to 23%. The pigment volume concentration is defined as the pigment volume / (pigment volume + oligomer volume + dispersed polymer volume).

The composition of the invention may also comprise pigment dispersants. Pigment dispersants may affect the oil and water absorption properties of the pigments as well as influencing any oligomer/pigment interactions. Examples of pigment dispersants include but are not limited to the Disperbyk range from Byk Chemistry such as Disperbyk 181, Disperbyk 190; Lactimon WS, the Borch Gen range from Borchers such as Borch Gen NA 20; the Dispers range from Tego such as Dispers 715W, EFKA-1101-1503, EFKA-5010-5244, EFKA-4008-4800; the Nuosperse range from Sasol; soya lecithine; Triton 165

SMC 60576

21

and Triton 405X from Dow Chemical; the Orotan range from Rohm & Haas, such as Orotan 731SD, Orotan 1124; the Solsperse range from AVecia Additives, such as Solsperse 2000, Solsperse 27000; Pigmentverteiler A from BASF; Hydropalat 1706 from Cognis; and Coatex A122 from Coatex.

5. The pigment dispersants if present preferably have a $M_w \geq 3000$, more preferably ≥ 5000 Daltons.

The pigment dispersants if present, preferably comprise 0.1 to 10wt %, more preferably 0.2 to 5 wt % of the composition of the invention.

Ranges of thickeners are available for aqueous coating compositions. Newtonian thickeners are defined as thickeners that have a viscosity that is independent of the shear rate. Examples of Newtonian thickeners include but are not limited to Acrysol RM 1020 and Acrysol RM 2020 (Rohm & Haas); and Nuvis FX 1070 (Sasol Servo).

Thickeners that exhibit a reduced viscosity as shear increases are known as pseudoplastic or shear thinning thickeners. Thickeners that exhibit an increase in viscosity as shear increases are known as shear thickening thickeners. Thixotropic thickeners are shear thinning, however when the shear stress is removed the initial viscosity is only restored over a period of time. Examples of thixotropic thickeners include but are not limited to Acrysol TT 935 (Rohm & Haas); Rheo 3000 and Thixol 53L (Coatex); and Viscalex VG2 (Ciba Specialty Chemicals).

20 The viscosity-shear behaviour of these thickeners is described in "a Handbook of Elementary Rheology" by Howard A. Barnes (ISBN 0-9538032-0-1), Chapter 5, 19-24 and Chapter 15.8, 131; in "Paint, Flow and Pigment dispersions" by Temple C. Palton, John Wiley & Sons (ISBN 0-471-03272-7), Chapter 1, 2-9; and in "Additives for Coatings", Johan Bielman, Wiley-VCH (ISBN 3-527-29785-5), Chapter 3, 11-13,

25 It is also possible to classify thickeners as associative and non-associative thickeners. Non-associative thickeners increase the overall viscosity of the continuous phase of the composition. Examples of non-associative thickeners include cellulose derivatives such as cellulose ethers and water-soluble polymers such as polyethylene oxides, polyvinyl alcohols, polyacrylamides and polyethyleneoxide based polyurethanes.

30 Associative thickeners reduce the level of shear thinning. Examples of associative thickeners include water soluble polymers, in particular hydrophobe modified water soluble polymers such as hydrophobically modified ethylene oxide urethane block copolymers (HEUR); hydrophobically modified hydroxy ethyl celluloses (HMHEC) and hydrophobically modified alkali soluble emulsions (HASE). HEUR's and HMHEC's tend to be mainly non-ionic and HASE's tend to be anionic in nature.

Coatings and rheology properties are reviewed in Organic Coatings, Science and Technology, Vol 2, Chapter 19 and 35, Z.W Wicks, F.N. Jones, S.P. Pappas, John Wiley & Sons ISBN 0-471-59893-3(v 2).

Newtonian-like behaviour of the composition of the invention is beneficial for open 40 time and although real Newtonian behaviour is preferred, a limited degree of

pseudoplastic behaviour is acceptable within specified ranges.

Newtonian-like thickeners are defined as thickeners that when added to a standard formulation described below for Example 1; with identical amounts of components 1 to 7; in an amount to give a viscosity of 3000 to 5000 mPa.s, have a shear ratio in the range of from 1 to 15, more preferably in the range of from 1 to 10 and most preferably in the range of from 1 to 6, where the shear ratio is defined as the ratio of the measured viscosities of the formulation at a shear rate of 10 s⁻¹ and at 2000 s⁻¹, more preferably at a shear rate of 10 s⁻¹ and at 5000 s⁻¹, and most preferably at a shear rate of 10 s⁻¹ and at 10,000 s⁻¹ (all viscosities measured with a Brookfield DV-11⁺ viscometer, spindle # 4, at 6 rpm and at 20°C).

The thickener(s) for use in the invention composition preferably comprises a Newtonian-like thickener(s), optionally in combination with a thixotropic thickener(s). The term Newtonian-like herein includes Newtonian.

In an embodiment of the invention the aqueous composition preferably comprises 0.1 to 10 wt %, more preferably 0.2 to 8 wt % and most preferably 0.3 to 8 wt % and especially 0.5 to 4 wt% of a Newtonian-like thickener(s).

In another embodiment of the invention the aqueous composition preferably comprises 0.1 to 10 wt %, more preferably 0.2 to 8 wt %, most preferably 0.3 to 8 wt % and especially 0.5 to 4 wt % of a thixotropic thickener(s).

More preferably the composition of the invention comprises a combination of a Newtonian-like and a thixotropic thickener(s), in a ratio in the range of from 95:5 to 30:70, more preferably 90:10 to 60:50.

Most preferably the composition of the invention has a Newtonian-like behaviour and this is defined as the composition of the invention having a shear ratio in the range of from 1 to 15, more preferably in the range of from 1 to 10 and most preferably in the range of from 1 to 6, where the shear ratio is defined as the ratio of the measured viscosities of the composition at a shear rate of 10 s⁻¹ and at 2,000 s⁻¹, more preferably at a shear rate of 10 s⁻¹ and at 5,000 s⁻¹, and most preferably at a shear rate of 10 s⁻¹ and at 10,000 s⁻¹ (measured with a Brookfield DV-11⁺ viscometer, spindle # 4, at 6 rpm and at 20°C).

The composition of the invention may also comprise 0.01 to 1 wt %, more preferably 0.02 to 0.5 wt % of levelling agents and/or flow additives such as polyacrylate levelling additives; cellulose acetobutyrate; silicones and fluorosurfactants such as the Fluowet range.

A co-solvent, as is well known in the coating art, is an organic solvent employed in an aqueous composition to improve the drying characteristics thereof. The invention composition can contain a co-solvent or a mixture of co-solvents. More preferably the invention composition can contain co-solvent or a mixture of co-solvents in a concentration ≤ 10%, more preferably ≤ 5%, most preferably ≤ 3% and most especially 0% by weight based on the invention composition. Preferably the co-solvent has a

SMC 60576

23

molecular weight below 200 g/mol. The co-solvent may be organic solvent incorporated or used during preparation of the crosslinkable oligomer(s) and/or the dispersed polymer(s) or may have been added during formulation of the aqueous composition.

An advantage of the present invention is that (if used) co-solvent can, if as is often required for environmental and safety reasons, be present at very low concentrations because of the plasticising nature of the crosslinkable oligomer(s). Preferably the co-solvent to water ratio is below 0.8, more preferably below 0.4, most preferably below 0.2 and especially below 0.1. The co-solvent(s) can all be added at the final formulation step. Alternatively some or all of the co-solvent in the final formulation can be the co-solvent utilised in the preparation of the crosslinkable oligomer(s). An important consideration when choosing a co-solvent is whether or not the co-solvent is compatible with the crosslinkable oligomer(s) and/or the dispersed polymer(s) and the effect of any co-solvent partitioning (and the partitioning of the co-solvent in the (aqueous) oligomer phase versus the dispersed polymer particles is preferably > 1/1, more preferably > 2/1 and most preferably > 3/1). If the co-solvent is more compatible with the dispersed polymer(s) it will swell the dispersed polymer, thus increasing the overall viscosity. Preferably any co-solvent present in the aqueous composition of the invention is more compatible with the crosslinkable oligomer(s) than with the dispersed polymer(s), so that the dispersed polymer(s) undergoes little if any swelling by the co-solvent.

The drying process of an applied invention composition can be divided in four stages namely the periods of time necessary to achieve respectively, dust-free, tack-free, thumb-hard and sandable coatings using the tests described herein.

Preferably the dust free time is ≤ 5 hours, more preferably ≤ 2 hours and still more preferably ≤ 50 minutes.

Preferably the tack-free time is ≤ 20 hours, more preferably ≤ 12 hours and still more preferably ≤ 8 hours.

Preferably the thumb-hard time is ≤ 48 hours, more preferably ≤ 24 hours, more preferably less than 16 hours and especially ≤ 10 hours.

Preferably the resultant coating is sandable within 72 hours, more preferably within 48 hours, still more preferably within 24 hours and especially within 16 hours.

The equilibrium viscosity of the aqueous coating composition is a suitable method for illustrating the drying characteristics of the aqueous coating composition. By the equilibrium viscosity of an aqueous composition at a particular shear rate and solids content is meant the viscosity measured when the aqueous composition has been subjected to the shear rate at for long enough to ensure that the viscosity measurement has reached a constant value.

If the composition is to remain brushable and workable during drying, it is necessary that its equilibrium viscosity does not exceed defined limits during the drying process and hence over a range of solids contents. Accordingly the crosslinkable water-dispersible oligomer(s) which are used in this invention do not give a significant phase

inversion viscosity peak, if any at all, during the drying process when the system inverts from one in which water is the continuous phase to one in which the continuous phase is a mixture of crosslinkable water-dispersible oligomer(s), solvent and optionally (part of the) water.

5 Preferably, the composition of the invention has an equilibrium viscosity \leq 500 Pa.s, during the first 15 minutes, more preferably during the first 22 minutes and most preferably during the first 30 minutes of drying when measured using any shear rate in the range of from 0.01 ± 0.005 to $900 \pm 5 \text{ s}^{-1}$ and at $23 \pm 2^\circ\text{C}$.

10 More preferably, the composition of the invention has an equilibrium viscosity of \leq 200 Pa.s and more preferably \leq 100 Pa.s when measured as defined above,

Preferably the solids content of the aqueous coating composition when determining the equilibrium viscosity is in the range of from 20 to 80%, more preferably in the range of from 20 to 70%, and especially in the range of from 20 to 80% by weight of the composition.

15 The aqueous coating composition of the invention may be applied to a variety of substrates including wood, board, metals, stone, concrete, glass, cloth, leather, paper, plastics, foam and the like, by any conventional method including brushing, dipping, flow coating, spraying, and the like. They are, however, particularly useful for providing coatings on wood and board substrates. The aqueous carrier medium is removed by natural drying or accelerated drying (by applying heat) to form a coating.

20 Accordingly, in a further embodiment of the invention there is provided a coating obtainable from an aqueous coating composition of the present invention.

The aqueous coating composition of the invention may contain other conventional ingredients, some of which have been mentioned above; examples include pigments, dyes, extenders such as barium sulphate, (tertiary) amines, waxes, polyphosphate salts, emulsifiers, surfactants, plasticisers, thickeners (including non-Newtonian or non-thixotropic thickeners), heat stabilisers, levelling agents, anti-cratering agents, fillers, sedimentation inhibitors, UV absorbers, antioxidants, dispersants, flow agents, adhesion promoters, defoamers, co-solvents, wetting agents; such as may be used in conventional binder systems; and the like introduced at any stage of the production process or subsequently. It is possible to include an amount of antimony oxide in the dispersions to enhance the fire retardant properties. Optionally external crosslinking agent(s) having reactive functional groups as described above may be added to the aqueous composition of the invention

35 In particular, the aqueous coating compositions of the invention, if the dispersed polymer(s) is autoxidisable, advantageously include a drier salt(s). Drier salts are well known to the art for further improving curing in unsaturated film-forming substances. Generally speaking, drier salts are metallic soaps that are salts of metals such as cobalt, manganese, zirconium, lead, neodymium, lanthanum and calcium; and long chain carboxylic acids. The level of drier salt(s) in the composition is typically that to provide an

SMC 60576

25

amount of metal(s) within the range of from 0.01 to 0.5% by weight based on the weight of autoxidisable dispersed oligomer(s) and/or polymer(s).

Drier accelerators may be added to the drier salts. Suitable drier accelerators include 2,2'-bipyridyl and 1,10-phenanthroline.

If desired the aqueous dispersion of the invention can be used in combination with other polymer dispersions or solutions, which are not according to the invention.

The present invention is now illustrated by reference to the following examples. Unless otherwise specified, all parts, percentages and ratios are on a weight basis.

10 Test methods:

Open time:

To test for the open time of the aqueous compositions prepared as described in the examples below, the aqueous composition was applied using a brush or a roller to the upper area (approximately 30x60 cm marked out with adhesive tape at the lower area) of a primed wooden panel (50x60 cm) [a non porous medium density fibreboard available from Aartsbouw BV, Waalwijk, The Netherlands] at a wet film thickness of 110 to 130 µm which was checked at random points in the film with a wet film thickness gage. The adhesive tape was removed and the open time was determined after 5 minutes and at intervals of 5 minutes by applying a fresh paint layer of approximately 15x20 cm bordering on the coated area. Subsequently the whole area of approx 50x15 cm was rebrushed with a, dipped in paint, semi-wet brush (Monoblock no 12, pure bristles/polyester 5408-12).

The open time was considered to be over once the composition carried on the brush no longer formed a homogeneous layer with the coating on the substrate with the proviso that the level of resistance that the brush encounters should not increase significantly and that no significant visible differences in flow should occur in the various recoated areas (when compared to the first recoated area). The open time is also considered to be over at the point in time after which one of these conditions is not met.

In this way the approximate time interval for open time was established and this method was then repeated with intervals of 2 minutes to determine the open time more accurately. The measurements were carried out at relative humidity levels of 35 +/- 2% and temperatures of 23 +/- 2°C.

Drying time:

To test the dust-free, tack-free and thumb-hard drying stages of the aqueous compositions prepared in the Examples as described below, the aqueous composition was applied to a glass plate at a wet film thickness of 80µm. Drying time tests were performed at regular time intervals at relative humidity levels of 35 +/- 2% and temperatures of 23 +/- 2°C.

40 Dust-free time:

The dust-free time was determined by dropping a piece of cotton wool (about 1 cm³ i.e. 0.1g) onto the drying film from a distance of 25 cm. If a person could immediately blow the piece of cotton wool from the substrate without leaving any wool or marks in or on the film, the film was considered to be dust-free.

5

Tack-free time:

The tack-free time was determined by placing a piece of cotton wool (about 1cm³, 0.1g) on the drying film and placing a metal plate (with a diameter of 2 cm) and then a weight of 1kg onto the piece of cotton wool (for 10 seconds). If the piece of cotton wool could be removed from the substrate by hand without leaving any wool or marks in or on the film, the film was considered to be tack-free.

10

Thumb-hard time:

The thumb-hard time was determined by placing the coated glass plate on a balance and a thumb was pressed on the substrate with a pressure of 7kg. The thumb was then rotated 90° under this pressure. If the film was not damaged the coating was dried down to the substrate level and considered to be thumb-hard.

Sandability:

20

Sandability corresponds to the hardness of a coating at the point when a coating can be sanded properly. The composition prepared in the Examples described below was applied to a piece of wood at a wet film thickness of 120µm. The coating was abraded by hand with sandpaper (grain delicacy P150) at regular time intervals at relative humidity levels of 50 +/- 5%, temperatures of 23 +/- 2°C and an airflow ≤ 0.1m/s. When there was no significant clogging (or the coating started powdering) the coating was considered to be sandable.

Viscosity:

30

All viscosity measurements were performed on either i) a TA Instruments AR2000N Rheometer, using cone & plate and/or plate & plate geometries, depending on the viscosity of the sample to be measured, (a Peltier heating/cooling unit in the bottom plate was used to control the temperature) or ii) a Brookfield DV-II+ Viscometer, spindle #4.

Equilibrium viscosity

35

The equilibrium viscosity measurements were performed with a plate & plate (diameter 15mm, gap 500 µm, at 23 ± 0.1°C). All compositions were used at the solids level at which they were prepared and not diluted to lower solids levels.

Step 1: Three test charts were provided with coatings of identical film thickness. The coatings were applied with a 120 µm wire rod and the actual film thickness (and its

SMC 60576

27

uniformity) was checked with a wet film gauge, 20 to 370 µm, of Bralve Instruments. The charts were dried under identical conditions in an environment where the airflow was < 0.1 m/s.

Step 2: One test chart was used to determine the solids increase in time. The weight of the film was monitored in time, starting right after application of the film. After calculating the solids content at every measurement, a solids-time curve could be constructed and a trend line was calculated for the solids of the film as a function of the drying time.

Step 3: The other two test charts were used to determine the equilibrium viscosity in time: approximately every 5 minutes a sample was scraped from one test chart (in random order) and the viscosity of this sample was measured at 23°C at representative shear rates, for example at 0.1 s⁻¹, 1 s⁻¹, 10 s⁻¹ and 77.9 s⁻¹. The measurements were continued for 90 minutes, unless reproducible sampling from the test charts could not be performed properly within that period of time (due to for example drying of the film to reach the dust free time).

Step 4: The final drying curve of the coating as shown in Figures 1 to 4 (in which the equilibrium viscosity is represented as a function of the solids of the drying film) could be constructed from the solids-time curve (Step 2) and the equilibrium viscosity data (Step 3).

20

Molecular weight determination

Gel permeation chromatography (GPC) analyses for the determination of polymer molecular weights were performed on an Alliance Waters 2690 GPC with two consecutive PL-gel columns (type Mixed-C, l/d = 300/7.5 mm) using tetrahydrofuran (THF) as the eluent at 1 cm³/min and using an Alliance Waters 2410 refractive index detector. Samples corresponding to about 16 mg of solid material were dissolved in 8 cm³ of THF, and the mixtures were stirred until the samples had dissolved. The samples were left undisturbed for at least 24 hours for complete "uncolling" and subsequently were filtered (Gelman Acrodisc 13 or 25 mm ø CR PTFE; 0.45 µm) and placed on the auto-sampling unit of the GPC. A set of polystyrene standards (analysed according to DIN 55672) was used to calibrate the GPC.

All species with a molecular weight less than 1000 Daltons are ignored when calculating the Mw and PDI for the crosslinkable oligomers. When Daltons are used in this application to give molecular weight data (g/mole), it should be understood that this is not a true molecular weight, but a molecular weight measured against polystyrene standards as described above.

Water Solubility

The water solubility of crosslinkable oligomer(s) was determined as follows:
A sample of a crosslinkable oligomer was dispersed in water and diluted with

water/ammonia to 10% solids and the pH adjusted to the desired pH, within a range of from 2 to 10, and the dispersion was then centrifuged over 5 hours at 21000 rpm at 23 ± 2°C on a Sigma 3K30 centrifuge (21,000 rpm corresponds to a centrifugal force of 40,000g). The pH chosen should be the pH where the crosslinkable oligomer is expected to be most soluble, for example often a pH of about 9 is suitable for anionic stabilised dispersions and a pH of about 2 is often suitable for cationic stabilised dispersions. After centrifugation a sample of the supernatant liquid was taken and evaporated for 1 hour at 150°C to determine the solids content of the supernatant liquid. The water-solubility percentage was calculated by dividing the amount of solids (g) of the supernatant by the total of amount of solids in the sample and multiplying by 100.

Surface Hardness

König hardness was determined following DIN 53157 NEN5319 using Erichsen hardness measuring equipment. The values are given in second(s) and the higher the value is the harder the coating is.

Pigment Water Absorption

The water absorption number of for example titanium dioxide pigment was determined by a method recommended by Tioxide Europe and comprises titration of the pigment with water in the presence of a predetermined amount of Calgon PT in such a way that two separate end points could be distinguished. These were: (a) the amount of titrant required to just wet 100g of pigment and (b) the amount of titrant required to give a fluid dispersion which is the value taken for the water absorption.

The pigment (50 ± 0.1g) was added to dry 200 cm³ glass jar. A 5% Calgon PT solution (4 cm³, sodium hexamethaphosphate (sodium polyphosphate) or Calgon N tetrapotassiumpyrophosphate; dissolved in 95 grams of water) was pipetted into the jar and about one half of the expected amount of water was also added via a burette. The components were mixed thoroughly for 1 minute. Water was added in 0.2 cm³ increments with thorough mixing for approximately 0.5 minutes between additions. The first end point occurs when the pigment is just wetted, usually with the formation of a large ball of paste and is defined as cm³ of Calgon + [cm³ of water x 2].

(b) The paste ball was then broken down with a spatula and 2 cm³ water was mixed in. Further increments of water (0.5 cm³) were made, reducing to 0.2 cm³ as the second end-point was approached, and the paste was stirred vigorously between additions.

The second and final end-point occurred is taken when a smooth, even line (not a "herring-bone" pattern) was temporarily exposed by drawing the tip of a spatula horizontally over the bottom of the jar immediately after it has been tilted 60° from vertical. The slurry should just flow back over the dry glass thus exposed. The second end-point was defined as: Calgon (cm³) + [water (cm³) x 2]

SMC 60576

29

A pigment with a known water absorption should be tested by the same procedure at the same time and the results for the pigment under test reported relative to these results for the standard.

5 Pigment Oil Absorption

The pigment oil absorption was measured using ISO 787 part 5.

Materials & Abbreviations used:

MPEG750	= methoxy polyethylene glycol (Mn ~750) available from LaPorte
10 DMPA	= dimethylol propionic acid
NMP	= N-methyl pyrrolidone
TDI	= toluene diisocyanate
Dowanol DPM	= dipropylene glycol monomethyl ether
1,4-CHDM	= 1,4-cyclohexanedimethanol
15 Water	= demineralised water
DMEA	= N,N-dimethyl ethanamine
DEA	= diethanolamine
NaOMe	= sodium methoxide
AMP 95	= 2-amino-2-methyl-1-propanol, Angus Chemical Company;
20	95% in water
Dehydren 1293	= defoamer available from Cognis, 10% in butyl glycol
Surfynol 104E	= wetting agent available from Air Products, 50% in ethylene glycol
NeoCryl BT-24	= pigment dispersant available from NeoResins 100% solid material
Tioxide RHD-2	= titanium dioxide available from Huntsman-Tioxide
25	Oil absorption number 18 g/100g pigment, Water uptake 28 cm ³ /100g pigment
Tioxide TR-50	= titanium dioxide available from Huntsman-Tioxide
	Oil absorption number 26 g/100g pigment, Water uptake 44 cm ³ /100g pigment
Borchigel L75N	= (1:1) Pseudoplastic thickener available from Borchers 25% in water
30	Acrysol RM-1020 = Newtonian-like thickener available from Rohm & Haas, 20% in butyldiglycol/water

Preparation of an alkyd polyol mixture X1

A 2-L round bottom flask, equipped with a stirrer and a thermometer, was loaded with DEA (247.56g) and NaOMe (2.54g). The mixture was heated to 100°C until the NaOMe was dissolved. Then sunflower oil (1248.08g) was added giving a hazy reaction mixture. Stirring the hazy reaction mixture at 100 to 110°C was continued until a clear reaction mixture was obtained and a DEA-conversion of at least 85% was achieved, as determined by titration of residual amine functionality in the product with aqueous HCl. The resulting mixture was then cooled to 70°C before adding H₃PO₄ (1.81g) and stirring

for 15 minutes. The alkyd polyol mixture (X1) was cooled to room temperature and stored under nitrogen. The DEA-conversion was 90.2%.

Preparation of self-crosslinkable (autoxidisable) urethane oligomer A1, and its dispersion DA1

A 1-L 3-necked round bottom flask, equipped with a stirrer and a thermometer, was loaded with DMPA (19.38g), NMP (92.5g), 1,4-CHDM (8.97g), MPEG750 (18.87g) and the alkyd polyol mixture X1 (260.43g) in a nitrogen atmosphere. The reaction mixture was stirred until a clear solution was obtained. At a maximum temperature of 25°C TDI (99.89g) was fed into this reaction mixture without exceeding a reactor temperature of 50°C. After the TDI-feed was complete, the reaction mixture was heated to 80°C and stirred at this temperature for 1 hour. The resultant NCO free alkyd urethane oligomer was then cooled to about 70°C, and diluted with Dowanol DPM (51.38g). Subsequently DMEA (10.27g) was added and the mixture was stirred for 15 minutes. Then water (155.43g) was added and the temperature was lowered to 55 to 60°C. The resultant predispersion was stirred for an additional 15 minutes.
Part of the resultant predispersion (600g), at 55 to 60°C, was dispersed in water (752.88g; 45 to 50°C), over 60 minutes and under a nitrogen atmosphere. After the addition was complete, the final dispersion was stirred for an additional 15 minutes, cooled to ambient temperature, filtered over a 200-mesh sieve and stored under nitrogen. The dispersion DA1 had a solids content of 25wt% and a pH of 6.9. GPC analysis of A1: Mw = 2990; PDI = 1.93

Dispersed vinyl polymer P1 (NeoCryl TX200)

NeoCryl TX200 is a high molecular weight acrylic emulsion obtainable from Avercia BV, The Netherlands. NeoCryl is a trademark of Avercia.

Preparation of a blend of oligomer dispersion DA1 and dispersed polymer P1 = A1P1

A 500cm³ 3-necked round bottom flask, equipped with a stirrer, was loaded with DA1 (171.2 g) in a nitrogen atmosphere, a drier salt Durham VX 74 (0.44 g ex Elementis Pigments) was added and subsequently dispersion P1 (155.9 g) was added while stirring the mixture. The blend was stirred for an additional 20 minutes at room temperature. The blend had a solids content of 37.4wt%, and a pH of 7.6.

Pigmented paint composition comprising A1P1 with thickeners and pigments.

A 500cm³ jar, equipped with a stirrer, was loaded with the components listed in Table 1 below. Comparative Example 2 (C.Example 2) is also the reference formulation referred to above.

SMC 60576

31

Table 1

Components	Example 1	C.Example 1	C.Example 2
1. A1P1	65.7	65.7	65.7
2. Wafer	5.9	5.9	6.9
3. AMP 95	0.2	0.2	0.2
4. Dehydran 1293	1.5	1.5	1.5
5. Surlynol 104E	0.4	0.4	0.4
6. NeoCryl BT-24	3.2	3.2	3.2
7. Tioxide RHD-2	23.1	23.1	-
8. Tioxide TR-50	-		22.2
9. Borchigel L75N	-	1.7	1.4
10. Acrysol RM-1020	5.0	-	-
Pigment Volume Concentration	19 to 20%	19 to 20%	19 to 20%
Low shear viscosity (mPa.s) ¹ 8 rpm	3300	4000	4500
Viscosity (Pa.s) ² at shear rate 10 s ⁻¹	1.175	2.19	2.363
Viscosity (Pa.s) ² at shear rate 10,000 s ⁻¹	0.314	0.0483	0.0529
Shear ratio	3.7	45.3	44.7
Open time (min)	18 to 20	14 to 16	12 to 14
Tack free time (hrs)	9	9 to 16	8

¹ Viscosity determined by a Brookfield DV-II+ Viscometer, spindle #4² Viscosity determined by a TA Instruments AR2000N Rheometer

CLAIMS

1. An aqueous coating composition with an improved open time comprising:
 - a) 1 to 35 wt % of a crosslinkable water-dispersible oligomer(s);
 - b) 4 to 50 wt % of a dispersed polymer(s);
 - c) 3 to 75 wt% of a pigment with an oil absorption number $\leq 20\text{g oil / 100g pigment}$;
 - d) 0 to 10 wt % of a Newtonian-like thickener(s);
 - e) 0 to 10 wt % of a thixotropic thickener(s);
 - f) 0 to 20 wt % of co-solvent;
 - g) 10 to 80 wt % of water;
wherein a) + b) + c) + d) + e) + f) + g) = 100%;
wherein the weight ratio of a) : b) is in the range of from 10:90 to 60:40; wherein d) + e) = 0.1 to 10% and wherein said composition when drying to form a coating has a tack-free time of ≤ 24 hours.
2. An aqueous composition according to any one of the preceding claims wherein said crosslinkable oligomer(s) is selected from group comprising polyurethane oligomer(s), vinyl oligomer(s), polyamide oligomer(s), polyether oligomer(s), polysiloxane oligomer(s), polyester oligomer(s), hyperbranched oligomer(s) and/or mixtures thereof.
3. An aqueous composition according to any one of the preceding claims wherein said composition has an equilibrium viscosity $\leq 500 \text{ Pa.s}$, during the first 15 minutes of drying when measured using any shear rate in the range of from 0.01 ± 0.005 to $900 \pm 5 \text{ s}^{-1}$ and at $23 \pm 2^\circ\text{C}$.
4. An aqueous composition according to any one of the preceding claims wherein the crosslinkable oligomer(s) has a measured weight average molecular weight in the range of from 1,000 to 100,000 Daltons.
5. An aqueous composition according to any one of the preceding claims wherein the dispersed polymer(s) has a measured weight average molecular weight $\geq 110,000$ Daltons.
6. An aqueous composition according to any one of the preceding claims wherein the dispersed polymer(s) is a vinyl polymer.
7. An aqueous composition according to any one of the preceding claims wherein the pigment volume concentration is in the range of from about 1 to 48 wt %.

SMC 60576

33

8. An aqueous composition according to any one of the preceding claims wherein the pigment has a water absorption number $\leq 40 \text{ cm}^3/100\text{g pigment}$.

9. An aqueous composition according to any one of the preceding claims wherein the composition comprises 0.1 to 10 wt% of a Newtonian-like thickener.

10. An aqueous composition according to any one of the preceding claims wherein the composition comprises 0.1 to 10 wt% of a thixotropic thickener.

11. An aqueous composition according to any one of the preceding claims wherein the ratio of Newtonian-like to thixotropic thickener is in the range of from 95:5 to 30:70.

12. An aqueous composition according to any one of the preceding claims wherein the shear ratio of the viscosity of the composition at a shear rate of 10 s^{-1} and at a shear rate of 2000 s^{-1} is in the range of from 1 to 15.

13. An aqueous composition according to any one of the preceding claims wherein the co-solvent to water ratio is below 0.1.

14. An aqueous composition according to any one of the preceding claims wherein the improved open time is at least 3 minutes longer than a reference formulation with identical amounts of components a) to g) but where the pigment is Tioxide TR50 with an oil absorption number of 26g oil/100g pigment, a water absorption number of $44 \text{ cm}^3 \text{ water}/100\text{g pigment}$ and where the thickener used is Borchigel L75N, in an amount which will give a viscosity of 4000 to 5000 mPa.s at 6 rpm at 20°C , when measured with a Brookfield DV-II+ viscometer, spindle #4.

15. An aqueous composition according to any one of the preceding claims wherein the open time is at least 14 minutes.

16. A coating obtainable from an aqueous composition according to any one of the preceding claims.